

FORM PTO-1449

MODIFIED

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
184505SERIAL NO.  
09/114,231INFORMATION DISCLOSURE STATEMENT  
BY APPLICANTRECEIVED  
CARON

(Use several sheets if necessary)

AUG 17 1999

FILING DATE

June 30, 1999

GROUP  
2755  
Group 2700

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO*
							YES		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SAC	AA ✓	Microsoft Message Queueing Services: A Guide to Reviewing Microsoft Message Queueing Service Release 1.0, Microsoft Corporation, Redmond, Washington, 1997.
SAC	AB ✓	Comer, Douglas E., <u>Internetworking With TCP/IP Vol. 1: Principles, Protocols, and Architecture</u> , Chapter 6: Determining an Internet Address at Startup (RARP) and Chapter 18: Client-Server Model of Interaction, pp. 83 - 88, 293 - 309, Prentice-Hall, Englewood Cliffs, New Jersey, 1991.
SAC	AC ✓	Benaloh, Josh et al, <u>The Private Communication Technology (PCT) Protocol</u> , Internet Draft, available from <a href="http://premium.microsoft.com/msdn/library/bkgnd/html/pct/html">http://premium.microsoft.com/msdn/library/bkgnd/html/pct/html</a> , October, 1995.
SAC	AD ✓	Marshall, Martin, "Microsoft Updates Falcon Beta", <u>Internetweek</u> , Issue 653, March 10, 1997.
SAC	AE ✓	Bowen, Ted Smalley, "Asynchronous Messaging Pushes to the Fore", <u>Infoworld</u> , February 23, 1998. Available from <a href="http://www.infoworld.com/cgi-bin/displayTC.pl?/980223sb1-async.htm">http://www.infoworld.com/cgi-bin/displayTC.pl?/980223sb1-async.htm</a> .
SAC	AF ✓	Biggs, Maggie, "Applications Released from Platform Confines: Message Queueing Exchanges Data Between Apps Regardless of Platform", <u>Infoworld</u> , February 23, 1998. Available from <a href="http://infoworld.com/cgi-bin/displayTC.pl?/980223analysis.htm">http://infoworld.com/cgi-bin/displayTC.pl?/980223analysis.htm</a> .
SAC	AG ✓	Using MSMQ as an RPC Transport, Microsoft Corporation, 1997.
SAC	AH ✓	Tarjan, Robert Endre, <u>Data Structures and Network Algorithms</u> , Chapter 3: Heaps, pp. 33 - 43, Society for Industrial and Applied Mathematics, Philadelphia, Pennsylvania, 1993.
SAC	AI ✓	Microsoft Message Queue Server 1.0 Release Notes, Microsoft Corporation, Redmond Washington, 1997
SAC	AJ ✓	Microsoft Message Queue Server: A Guide to Reviewing and Evaluation Microsoft Message Queue Server Beta 2 Release, Microsoft Corporation, Redmond, Washington, 1997.
SAC	AK ✓	Richter, Jeffrey, <u>Advanced Windows: The Professional Developers Guide to the Win32 API for Windows NT 4.0 and Windows 95</u> , Chapter 11: Window Messages and Asynchronous Input, pp. 461 - 528, Microsoft Press, Redmond, Washington, 1997.
SAC	AL ✓	Sinha, Alok K., <u>Network Programming in Windows NT</u> , Chapter 5: Windows Sockets in Windows NT, pp. 199 - 299, Addison-Wesley Publishing Company, Reading, Massachusetts, 1996.
SAC	AM ✓	Gilman, Len and Schreiber, Richard, <u>Distributed Computing with IBM MQSeries</u> , John Wiley & Sons, New York, New York, 1997.
SAC	AN ✓	Blakeley, Burnie; Harris, Harry, and Lewis, Rhys, <u>Messaging &amp; Queuing Using the MQI</u> , McGraw-Hill Inc., New York, New York, 1995.

EXAMINER

DATE CONSIDERED

6/20/03

**FORM PTO-1449  
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**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

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SERIAL NO.  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT  
CARON

OCT 12 1999

FILING DATE  
June 30, 1998

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FILING DATE  
June 30, 1998

PATENT & TRADEMARK GROUP  
2755 OCT 15 1999

## U.S. PATENT DOCUMENTS

GROUP 2700

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<u>SXL</u>	AO	5,845,081	Dec. 1, 1998	Rangarajan et al			
<u>SXL</u>	AP	5,819,042	Oct. 6, 1998	Hansen			
<u>SXL</u>	AQ	5,838,907	Nov. 17, 1998	Hansen			
<u>SXL</u>	AR	5,872,968	Feb. 16, 1999	Knox et al			
<u>SXL</u>	AS	5,875,306	Feb. 23, 1999	Bereiter			
<u>SXL</u>	AT	5,920,697	July 6, 1999	Masters et al			
<u>SXL</u>	AU	5,526,358	June 11, 1996	Gregerson et al			
<u>SXL</u>	AV	5,922,049	July 13, 1999	Radia et al			
<u>SXL</u>	AW	5,835,727	Nov. 10, 1998	Wong et al			
<u>SXL</u>	AX	5,812,819	Sept. 22, 1998	Rodwin et al			
<u>SXL</u>	AY	5,854,901	Dec. 29, 1998	Cole et al			
<u>SXL</u>	AZ	5,287,103	Feb. 15, 1994	Kasprzyk et al			
<u>SXL</u>	BA	5,526,489	June 11, 1996	Nilakantan et al			
<u>SXL</u>	BB	5,557,748	Sept. 17, 1996	Norris			

## FOREIGN PATENT DOCUMENTS

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**EXAMINER**

**DATE CONSIDERED**

6/20/03

FORM PTO-1449 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 184505	SERIAL NO. 09/114,231
O I P E INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		APPLICANT CARON	
		FILING DATE 6/30/98	GROUP 2756

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SxL	BM ✓	Lam, Richard B., "Shared Memory and Message Queues", <u>Dr. Dobb's Journal on CD-Rom</u> , March 1995 pg. 2-3.
SxL	BN ✓	Newton, Harry, <u>Newton's Telecomm Dictionary</u> , 8 <sup>th</sup> Ed, Flatiron Publishing, 1994, pp. 333 and 870

\*A concise statement of relevance is being submitted in lieu of a translation. 37 CFR § 1.98(b).  
1449FORM (Rev. 7/15/1999)

FORM PTO-1449 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 184505	SERIAL NO. 09/114,231
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Caron	RECEIVED JUN 13 2000 GROUP 27
(Use several sheets if necessary)		FILING DATE June 30, 1998	JC100 O I P E JUN 09 2000 PATENT

~~SEARCHED~~ ~~INDEXED~~ ~~MAILED~~  
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## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

SxL	CL ✓	Blakeley et al., <i>Messaging and Queuing Using the MQI</i> , McGraw Hill, Inc.; New York, NY, 1995.
SxL	CM ✓	Douglas E. Comer, <i>Interneting with TCP/IP, Vol. 1: Principles, Protocols and Architecture, Second Edition</i> , Chapter 19, 1991.
SxL	CN ✓	Andrew S. Tanenbaum, <i>Computer Networks, Third Edition</i> , Prentice Hall, New Jersey; pp. 577 - 622, 1996.

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